

## Walbrook Code of Practice for Quality Assurance (Higher Education)

### Chapter 9: academic integrity

#### 9.1 General principles

- 9.1.1. This chapter applies to all stakeholders and to all taught programmes we offer, at both undergraduate and postgraduate level. They may be varied in the case of programmes which form part of a dual or joint award delivered collaboratively with a partner institution. For dual / joint awards, the involvement of the academic partner may be sought at any point during the investigation of an alleged case of malpractice.
- 9.1.2. The principles have been aligned to the Quality Assurance Agency (QAA) UK Quality Code for Higher Education with particular consideration of the advice and guidance section on assessment.
- 9.1.3. All cases of malpractice will be dealt with fairly. Clear reasons for decisions taken will be given, and those making such decisions will be unbiased.
- 9.1.4. Malpractice is defined as:

'Any act or omission, intentional or otherwise, by a student or other individual or organisation involved in the delivery of a programme, to gain improper advantage in any way by infringement of rules and regulations or through deception or fraudulent means; or any attempt to assist another student to gain improper advantage or to cause direct or indirect disruption to the studies and / or assessment of other students following our programmes.'
- 9.1.5. We're responsible for ensuring that students, other individuals, or organisations involved in the delivery of a programme have access to our rules, regulations and guidance regarding malpractice, and that they're provided with appropriate guidance to enable them to avoid committing malpractice.
- 9.1.6. It's the student's responsibility to ensure they understand the rules, regulations and guidance regarding malpractice and seek advice and guidance as needed to ensure they avoid committing malpractice.
- 9.1.7. Acts of malpractice aren't acceptable in any circumstances. Where such acts are shown to have occurred, an appropriate sanction will always be enforced.
- 9.1.8. We'll investigate all cases of failure to abide by our regulations that might constitute malpractice.
- 9.1.9. In cases of suspected malpractice reported by an independent party, or an individual who wishes to remain anonymous, otherwise known as

whistleblowing, we'll take all reasonable steps to authenticate the reported information and to investigate the alleged malpractice to ascertain whether their concern has any foundation. If we don't receive adequate information from a whistleblower to justify an investigation, or it doesn't relate to the delivery of our programmes, we reserve the right not to take any further action. Whilst we may need to provide the individual involved with certain details in order to gather enough information, all effort will be made to keep the identity of the whistleblower concealed to avoid any prejudice against them.

9.1.10. In line with the Code of Practice chapter 7: assessment, it's compulsory for any stakeholder aware of a suspected case of malpractice to bring it to our attention.

## 9.2 Acts that constitute malpractice

### 9.2.1. By students

Malpractice can take a number of forms including, but not limited to, the following examples

- i. obtaining assessment material without authorisation
- ii. copying from the work of another student or knowingly allowing a student to copy from their own work
- iii. colluding with another student or individual, by any means, to complete an assessment unless collaboration is specifically authorised within the assessment instructions
- iv. communication in any form, when undertaking assessment in an examination environment, for example, verbally or electronically with another person, including other students. This also includes accessing other sources of information
- v. possession of any material in the assessment environment, regardless of whether or not they're relevant to the assessment or whether or not the student refers to them during the assessment process, for example, books, dictionaries, notes, electronic devices including mobile telephones, and calculators (when forbidden). This also includes accessing other sources of information virtually when undertaking an exam remotely unless permitted by the assessment rubric
- vi. the unauthorised removal of assessment materials from the assessment environment
- vii. impersonation of another student by undertaking, or attempting to undertake an assessment on their behalf
- viii. a student arranging for someone else to complete, or attempt to complete an assessment on their behalf, including through the use of

- services offering to provide students with essays for money, otherwise known as essay mills
- ix. including offensive / inappropriate material in an assessment submission
  - x. committing plagiarism by using the work of another person, either intentionally or unintentionally without acknowledging that person
  - xi. committing plagiarism by rewriting the work of another person in the student's own words without acknowledging that person
  - xii. allowing another person access to the student's work which is then used without acknowledgement
  - xiii. using all or part of a previous assignment or work submitted without acknowledgement, otherwise known as self-plagiarism
  - xiv. purchase or acquisition of work from internet sites or other sources, including essay mills, which is then submitted as a student's own
  - xv. unauthorized use of AI tools by a student to generate or significantly aid their assessment response, whether in whole or in part. While the use of AI for research, brainstorming, and gathering preliminary insights is encouraged, students must ensure that any direct contributions from AI tools are properly cited. Final submissions should reflect the student's own understanding and analysis, and any use of AI beyond the permitted scope defined by our guides on AI, or without proper citation, will be considered malpractice. For further information, please see the [AI usage policy](#).
  - xvi. failure to adhere to our published policies and regulations, including guidance for assessments whether they're taken remotely or in person
  - xvii. misrepresentation: that is, presenting work as being that of another person\*, to lend credibility to the student's own work
  - xviii. false evidence / results given within an assessment
  - xix. failure to adhere to instructions given by an assessment invigilator in relation to the assessment regulations, for example, continuing to work beyond the allotted assessment time, refusing to hand in the assessment script and / or assessment paper when requested, not adhering to warnings relating to conduct during the assessment
  - xx. false claims for reasonable adjustments or special consideration of extenuating circumstances
  - xxi. disruptive behaviour (including offensive language and aggressive / violent conduct)
  - xxii. damaging another student's work

- xxiii. tampering with, or forgery of, results documentation, including records of achievement or certificates
  - xxiv. falsely obtaining, by any means, a record of achievement or certificate for a component, module or qualification
  - xxv. contacting, or attempting to contact an external examiner appointed by us or one of our strategic partners
  - xxvi. falsely stating the word count in an assignment to gain an advantage.
- \*'Another person' is defined as anyone and everyone else apart from the student, even where the individual(s) is / are anonymous or unknown and 'work' may include written work (formal or informal), thoughts (for example, notes, correspondence), conversations (for example, radio programmes, phone discussions), electronic communications (for example, emails, web pages, faxes) or graphics (for example, diagrams, tables, exhibits, models).

#### 9.2.2. By persons other than students:

Malpractice can also be committed by others, to advantage or disadvantage students. Examples of malpractice by others, including assessment invigilators or lecturers, are listed below. Incidents of suspected malpractice aren't limited to the examples below, and all cases will be fully investigated by us where there are sufficient grounds to do so

- i. failure to keep assessment materials, examination question papers, and assessment scripts secure before, during or after an assessment
- ii. failure to adhere to our regulations and procedures, including third party and centre approval, security undertaking, and monitoring requirements as set out by us
- iii. failure to implement procedures to verify a student's identity
- iv. knowingly allowing an individual to impersonate a student
- v. allowing a student to possess and / or use material or electronic devices that aren't permitted in the assessment room
- vi. allowing a student to communicate by any means during an assessment or examination in breach of regulations
- vii. allowing a student to copy another student's work, or allowing a student to let their own work be copied
- viii. allowing students to work collaboratively during an assessment, unless specified in the assessment instruction
- ix. completing an assessed assignment for a student or providing them with assistance beyond that 'normally' expected
- x. allowing a student to work beyond the allotted assessment time
- xi. damaging a student's work

- xii. leaving students unsupervised during an assessment that requires supervision
- xiii. allowing disruptive behaviour or unacceptable conduct at the assessment centre, for example, offensive language or aggressive / violent conduct
- xiv. revealing any information relating to student assessment performance and / or results to anyone other than the student
- xv. producing, using or allowing the use of forged or falsified documentation, including but not limited to
  - a. personal identification
  - b. supporting evidence provided for reasonable adjustment or special consideration applications, and
  - c. results documentation including our certificates
- xvi. failing to report a suspected case of student malpractice to us.

9.2.3. If the student elects to continue with their studies while a malpractice case is being considered, they do so at their own risk, in that marks for assessment sat / submitted may not be valid as a result of the decision on the case, and any fees paid will not be reimbursed.

### 9.3 Reporting and dealing with suspected malpractice

- 9.3.1. Evidence of suspected malpractice may arise from a variety of sources, e.g., invigilator, examiner, lecturer, work-based learning tutor, project supervisor, employer, another student, from text matching software or from an external source (for example another university).
- 9.3.2. The identifier of suspected malpractice must report their concerns in writing to us within five working days of discovery. The report will be acknowledged within five working days of receipt.
- 9.3.3. Investigations into possible malpractice may include, but aren't limited to
  - reports generated by the text matching software.
  - viva
    - If a student does not engage with the viva investigative process, then the case will be passed directly to the Academic Integrity Panel.
  - contacting externals
  - discussions with academics
  - liaising with other institutions and external examiners
  - Liaising with the software company for further clarity.

## Examinations

- 9.3.4. All examinations, whether sat in person or online, are invigilated / proctored. Any breach of exam regulations by a student, and the timing at which they occurred, will be noted by the invigilator / proctor and reported to us. Upon receipt of the notification, we'll review the footage for online examinations or the written report for in person examinations to determine whether potential malpractice has occurred and, if so, it'll be presented to the Academic Integrity Panel to consider.
- 9.3.5. In cases where a student is discovered to be in possession of any unauthorised material during an in-person examination, the invigilator will confiscate the material.
- 9.3.6. In cases where the invigilator suspects that students may have been communicating / collaborating with each other, the invigilator will make a note of each of the students concerned, and the time and point at which the discovery was made.
- 9.3.7. In cases of suspected malpractice in the examinations, students will normally be allowed to continue working for the remainder of the assessment. In some circumstances, students may receive a warning from the invigilator / proctor that their behaviour may be in breach of our examination regulations but be permitted to continue.

## Non-examination assessment

- 9.3.8. All non-examination assessments are submitted to a text matching software to check the originality of the work and to identify any potential malpractice. Where the text matching software identifies work with high similarities to other work, it will be reviewed by staff to determine whether it needs to be considered by the Academic Integrity Panel.
- 9.3.9. Where malpractice is suspected, the report generated by the text matching software through which all submissions are presented will be used to aid any investigation.

## Academic Integrity Panel

- 9.3.10. Upon receiving notification of a potential malpractice case, we'll decide whether there's a case to answer that needs to be considered by the Academic Integrity Panel.
- 9.3.11. If we decide that the Academic Integrity Panel is to review the case, the student(s) or other persons concerned will be contacted outlining the issue,

providing an indication of the timeframe for dealing with the individual case, and asking for a written response by a given date.

- 9.3.12. Cases considered by the Academic Integrity Panel will consider all the evidence, including any response from the student(s) or other persons concerned, before making a judgement on the case.
- 9.3.13. In cases of suspected malpractice where more than one individual is involved, e.g., where students are suspected of colluding, we will separately contact each individual concerned.
- 9.3.14. Students aren't permitted to attend the Academic Integrity Panel in person unless specifically invited.
- 9.3.15. No Academic Integrity Panel hearing will normally involve legal representation by any party.
- 9.3.16. If the judgement of the Academic Integrity Panel is that malpractice has occurred, then a range of measures may be implemented.

For students these include, but aren't limited to, the following

- i. awarding the mark given by the examiner and a warning given to the student
- ii. capping the assessment component in which malpractice has been deemed to have occurred at the minimum pass mark
- iii. awarding a mark of zero in the assessment component in which malpractice has been deemed to have occurred
- iv. awarding a mark of zero for all the assessment components for the assessment period concerned
- v. requiring the student to re-sit the assessment component
- vi. notifying other departments for additional sanctions which will be considered through the student disciplinary policy; this may involve excluding students from their programme.

For persons other than students these include, but aren't limited to, the following

- i. a warning given to the person
  - ii. requirement to undertake training
  - iii. requirement to be supervised whilst carrying out their role for a fixed period
  - iv. exclusion from their role for a fixed period
  - v. permanent exclusion from their role.
- 9.3.17. When making its decision the Academic Integrity Panel will consider any previous advice that it has given to the student on malpractice.

9.3.18. The decisions made by the Academic Integrity Panel will be reported to the Module Assessment Board for consideration when approving the module completions.

9.3.19. The individual(s) will be notified of the decision of the Academic Integrity Panel within seven working days of the Panel meeting.

## 9.4 Appeals

9.4.1. Appeals against malpractice decisions will be considered in line with our Code of Practice chapter 10: student complaints and appeals.

9.4.2. The individual(s) will be notified of any requirement we have to report cases of proven malpractice to the relevant authorities / regulators, subject to completion of the process and only after time for appeal has passed or the appeal process has been completed.

9.4.3 If a student remains unhappy with a malpractice decision following our internal appeals processes, they may be able to escalate their appeal to the Office of the Independent Adjudicator (OIA). For further information, please see appendix A.

## 9.5 Monitoring

9.5.1. The outcomes of malpractice cases are monitored and reviewed by the Learning, Teaching and Quality Committee to ensure that procedures are fair and effective.

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## Appendix A: external referral

You may be eligible to escalate your complaint or appeal to an external body if you believe that our internal processes haven't been followed properly. At Walbrook, we offer a wide range of programmes and qualifications, so the steps you'll need to follow will depend on the programme of study that you're undertaking. The below is a list of the different routes that are available, but please check with us if you're unsure what you need to do and we'll confirm which route is appropriate for you.

### Learners studying a higher education qualification

If you're unhappy with the outcome of a decision we've made, you may be able to ask the OIA to review your case. The OIA runs an independent scheme to review the internal processes of its member providers, and we're a member of this scheme. You can find more information about making a complaint to the OIA, what it can and can't look at, and what it can do to put things right if something has gone wrong here: <https://www.oiahe.org.uk/students>. You'll have a maximum of 12 months to escalate your case to the OIA.

You normally need to have completed our internal procedures, confirmed in our [Code of Practice chapter 10: student complaints and appeals](#), before you escalate your case to the OIA. We'll send you a letter called a "Completion of Procedures Letter" when you've reached the end of our processes and there are no further steps you can take internally. If your case isn't upheld, we'll issue you with a Completion of Procedures letter automatically. If your case is upheld or partly upheld, you can ask for a Completion of Procedures letter if you want one. You can find more information about Completion of Procedures letters and when you should expect to receive one [here](#).

Those applying to study higher education qualifications with us may follow our internal processes, however, they won't have access to escalate their case, externally, to the OIA as they aren't registered students.

### Learners studying a higher education programme of learning

Those who are on a programme of learning with us but **aren't** undertaking a higher education qualification may follow our internal processes. However, they won't have access to escalate their case, externally, to the OIA. This includes executive or CPD programmes.

### Apprentices

If you remain dissatisfied with the outcome of your complaint or appeal following our internal complaints and appeal process, you may request that you're supplied with a Completion of Procedures letter, which you can use to support escalation of

your case to the OIA (please see above), or to the [ESFA Complaints Team](#). If you're unsure on who to escalate your case to, please speak to the Head of Apprenticeships. You'll have a maximum of 12 months from the date of your completion of procedures letter to escalate your case to either the OIA or EFSA.

### Professional education and financial capability learners

If all our internal complaints and / or review procedures are exhausted, we'll issue you with a close of procedure letter stating that our complaints / review process has been exhausted. The case may then be eligible for consideration by the regulators ([Ofqual](#), [Qualifications Wales](#) or [CCEA](#)) within the terms of their complaints policy (in some instances, the external body may choose to look at a case before our final decision, for example, if the matter appears to be urgent or in the public interest). We'll comply with the process established by the regulators and will give due regard to the outcome of any process in relation to a qualification we deliver. Please check with the relevant external body regarding the time period you have to raise your case with them.

### Learners studying a professional education programme of learning

Those who are on a programme of learning with us but **aren't** undertaking a professional education qualification may follow our internal processes, however, they won't have access to escalate their case, externally, to Ofqual. This includes executive or continuing professional development programmes.